



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,399	08/14/2001	Nabil M. Lawandy	902.0017.U1(US)

CONFIRMATION NO. 9150

**FORMALITIES LETTER**



\*OC00000006575045\*

29683  
HARRINGTON & SMITH, LLP  
1809 BLACK ROCK TURNPIKE  
FAIRFIELD, CT 06432

Date Mailed: 09/19/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

10/04/2001 SDIRETA1.00000082 09929399

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1002007 66E62660

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
902.0017.U1(US)

In Re Application Of: LAWANDY et al

Serial No.  
09/929,399

Filing Date  
August 14, 2001

Examiner  
Unknown

Group Art Unit  
2131

Invention:

Method and Apparatus for Reading Digital Watermarks with a Hand-Held Reader Device

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

FOR OFFICIAL USE ONLY

The fee of \$65.00 is to be paid as follows:

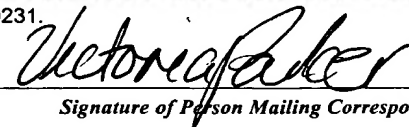
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1924
- ☒ A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1924
- ☒ A duplicate copy of this sheet is enclosed.

  
Signature

Dated: September 24, 2001

Harry F. Smith  
Registration No. 32,493  
Customer No. 29683  
i.e. Harrington & Smith, LLP  
1809 Black Rock Turnpike  
Fairfield, CT 06432  
(203) 366-4084 phone

I certify that this document and fee is being deposited  
on 9/24/01 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Victoria Parker

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
902.0017.U1(US)

In Re Application Of: **DAVID ANDY et al**

Serial No.  
09/929,399

Filing Date  
August 14, 2001

Examiner  
Unknown

Group Art Unit  
2131

Invention:

**Method and Apparatus for Reading Digital Watermarks with a Hand-Held Reader Device**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
September 19, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

**Docket No.**  
**902.0017.U1(US)**

In Re Application Of: LAWANDY et al

Serial No.  
09/929,399

**Filing Date**  
**August 14, 2001**

Examiner  
Unknown

**Group Art Unit**  
**2131**

**Invention:**

### Method and Apparatus for Reading Digital Watermarks with a Hand-Held Reader Device

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

**Attention: Manager, Application Branch**

[illegible]

 Completion of application fees as calculated below:

☐ Utility application filing fee☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<b>\$65.00</b>
---	----------------

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

**Total completion of application fees \$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

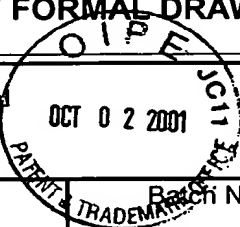
from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

**Total fees due                      \$65.00**

**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
902.0017.U1(US)In Re Application Of: **LAWANDY et al**

OCT 02 2001



Serial No.

Filing Date

Receipt No.

Examiner

Art Unit

09/929,399

August 14, 2001

Unknown

Unknown

2131

Invention:

**Method and Apparatus for Reading Digital Watermarks with a Hand-Held Reader Device**

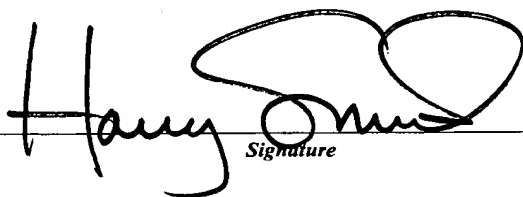
Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

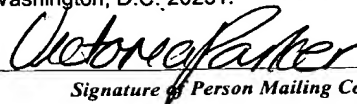
Transmitted herewith are:

4 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

  
SignatureHarry F. Smith  
Registration No. 32,493  
Customer No. 29683  
i.e.:  
Harrington & Smith, LLP  
1809 Black Rock Turnpike  
Fairfield, CT 06432Dated: September 24, 2001

I certify that this document and attached formal drawings are being deposited on 9/24/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

  
Signature of Person Mailing Correspondence

Victoria Parker

Typed or Printed Name of Person Mailing Correspondence